

# Equivalence of Charge Imbalance and External Electric Fields during Free Energy Calculations of Membrane Electroporation

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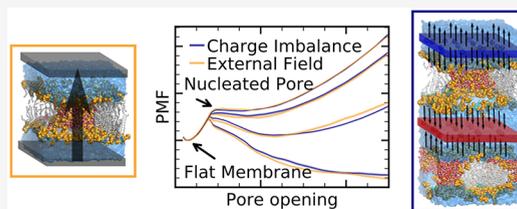


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**ABSTRACT:** Electric fields across lipid membranes play important roles in physiology, medicine, and biotechnology, rationalizing the wide interest in modeling transmembrane potentials in molecular dynamics simulations. Transmembrane potentials have been implemented with external electric fields or by imposing charge imbalance between the two water compartments of a stacked double-membrane system. We compare the two methods in the context of membrane electroporation, which involves a large change of membrane structure and capacitance. We show that, given that Ewald electrostatics are defined with tin-foil boundary conditions, the two methods lead to (i) identical potentials of mean force (PMFs) of pore formation and expansion at various potentials, demonstrating that the two methods impose equivalent driving forces for large-scale transitions at membranes, and (ii) to identical polarization of water within thin water wires or open pores, suggesting that the two methods furthermore impose equivalent local electric fields. Without tin-foil boundary conditions, effects from external fields on pore formation are spuriously suppressed or even removed. Together, our study shows that both methods, external fields and charge imbalance, are well suitable for studying large-scale transitions of lipid membranes that involve changes of membrane capacitance. However, using charge imbalance is technically more challenging for maintaining a constant transmembrane potential since it requires updating of the charge imbalance as the membrane capacitance changes.



## INTRODUCTION

Electric fields over lipid membranes play key roles in physiology and biotechnology, explaining the wide interest in modeling transmembrane potentials in molecular dynamics (MD) simulations. Transmembrane potentials have been applied in a plethora of MD studies involving, among others, permeation across ion channels<sup>1–3</sup> or water channels,<sup>4</sup> voltage gating,<sup>5,6</sup> or membrane electroporation.<sup>7–12</sup> These simulations provided unprecedented mechanistic and energetic insight into complex transitions at biological membranes. Membrane electroporation, which is in the focus of the present study, denotes the formation of pores into lipid bilayers by the application of transmembrane electric potentials.<sup>13–15</sup> Reversible electroporation is widely used in biotechnology or medicine to deliver biological samples such as genes, vaccines, or drugs into living cells.<sup>16</sup> Furthermore, irreversible electroporation is used to kill malignant cells for the ablation of tumor tissue that is not accessible to surgery.<sup>17,18</sup>

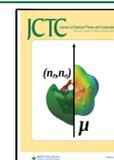
Two methods have been put forward for implementing transmembrane potentials in MD simulations. First, external electric fields  $E$  have been applied along the membrane normal by applying an additional force  $q_i E$  to the atoms, where  $q_i$  denotes the partial charge of atom  $i$ .<sup>19–21</sup> With an external electric field with magnitude  $E_z$  along the  $z$ -axis of the simulation box, the overall potential drop along the box is given by  $E_z L_z$ , where  $L_z$  is the box dimension along the  $z$  direction.<sup>21,22</sup> In the presence of a lipid membrane oriented in the  $x$ - $y$  plane, the potential drops mostly over the low-

dielectric membrane core, hence imposing a transmembrane potential of  $V_m = E_z L_z$ . Several studies used external electric fields to drive membrane electroporation.<sup>7,8,10–12,23–26</sup>

Alternatively, transmembrane potentials have been implemented by simulating a system with two stacked membranes, thereby forming two solvent reservoirs.<sup>4,9,12,23,27,28</sup> In this setup, the potential is imposed using a charge imbalance between the reservoirs, i.e., by adding ions with excess charges  $+Q$  and  $-Q$  into the two solvent reservoirs, respectively. This setup has been referred to as “charge imbalance”, “ion imbalance”, or “double-membrane setup”. The charge imbalance  $Q$  required for obtaining a specific transmembrane potential  $V_m$  is not obvious since it depends on the capacitance  $C$  of the membrane via  $Q = CV_m$ , while the membrane capacitance depends on the thickness, internal structure, and protein content. Hence,  $Q$  is typically modified by trial and error until the observed transmembrane potential agrees with the desired value. Upon structural transitions of the membrane such as the formation of a transmembrane pore, the capacitance may greatly change, suggesting that  $Q$  must be

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updated for maintaining a constant  $V_m$ . An extension of the charge imbalance setup is given by the “computational electrophysiology” method, which maintains a constant transmembrane potential after ion permeation by placing back permeated ions.<sup>29</sup>

Defacto standards of biomolecular MD simulations involve the use of three-dimensional periodic boundary conditions to avoid surface artifacts and the use of Ewald sums<sup>30</sup> for evaluating the long-range electrostatic interactions. Many modern MD codes implement Ewald sums via the computationally efficient particle-mesh Ewald (PME) method.<sup>31,32</sup> Ewald sums are typically evaluated assuming so-called tin-foil boundary conditions, implying that the periodic lattice of unit cells is at a large distance surrounded by a conducting medium. However, the use of Ewald sums with tin-foil boundary conditions together with external fields has been considered as problematic because the external field induces a macroscopic dipole in the simulation box, which seems to be at odds with the assumption of a conducting surrounding medium.<sup>33</sup> Hence, it has been discussed whether the external field may be straightforwardly compared with fields under experimental conditions.<sup>10,11,33,34</sup> In a similar spirit, spurious water ordering effects due to the presence of a macroscopic dipole in simulation systems with an asymmetric lipid membrane have been described recently.<sup>35</sup>

Several studies compared the effects of external electric fields with effects of charge imbalance.<sup>12,23,36</sup> Vernier et al. obtained similar pore formation times when using electric fields or charge imbalance in the presence of large potentials of 1.3 to 2.2 V.<sup>23</sup> Melcr et al. showed that the two methods yield equivalent ion and charge density distributions, water dipole orientations, and local electric fields around a lipid bilayer. However, since Melcr et al. focused on planar membranes, it has not been tested whether the two methods are equivalent during transmembrane pore formation, which involves large changes of membrane capacitance. In addition, it remained unclear whether electric fields implemented with the two methods impose equivalent free energy gradients for conformational transitions that are driven by transmembrane potentials.

Here, we simulated membrane electroporation across a dipalmitoylphosphatidylcholine (DPPC) membrane using either external electric fields or the charge imbalance method. As a highly sensitive test for the effect of electric fields on the membrane, we computed the potential of mean force (PMF) for pore nucleation and expansion at transmembrane potentials between 0 mV and 600 mV using a recently proposed reaction coordinate.<sup>37</sup> To avoid that ions, which we used to impose the charge imbalance, would diffuse across the open pore, we restrained these ions in the two bulk water compartments. As a probe for the local electric fields acting in the simulation, we computed the average water dipole orientation along the pore axis. We find that, given that PME is applied with tin-foil boundary conditions, external electric fields and charge imbalance yield nearly identical PMFs and dipole orientations, suggesting that the two methods are equally suitable for studying large-scale transitions at biomembranes.

## METHODS

**Simulation Setup and Parameters.** The simulation system of a single membrane, composed of 200 dipalmitoylphosphatidylcholine (DPPC) lipids and 12000 water molecules, was set up with the MemGen web server.<sup>38</sup> Lipid interactions were described with the force field by Berger et

al.,<sup>39</sup> and the SPC water model was applied.<sup>40</sup> The system was equilibrated with the GROMACS simulation software, version 2018.<sup>41</sup> Electrostatic interactions were described with the PME method with tin-foil boundary conditions if not stated otherwise, implying that the periodic simulation system is surrounded by a conducting medium.<sup>31,32</sup> Dispersion interactions and short-range repulsion was described by a Lennard-Jones potential with a cutoff at 1 nm. The temperature was kept at 323 K using velocity-rescaling ( $\tau = 0.1$  ps).<sup>42</sup> The pressure was controlled at 1 bar using weak coupling ( $\tau = 5$  ps).<sup>40</sup> A time step of 5 fs was applied. The system was equilibrated until the potential energies and box dimensions were fully converged.

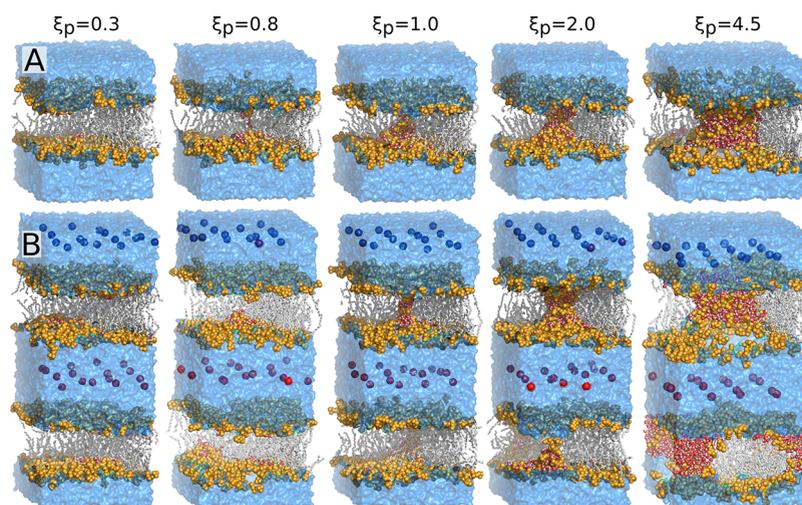
Dipole distributions were computed with the Gromacs module `gmx h2order` from simulations of umbrella windows (see below) of 520 ns, where the first 20 ns were discarded for equilibration. Errors were computed by block averaging using 10 blocks of 50 ns each.

**Reaction Coordinate of Pore Formation.** PMFs were computed along a recently proposed joint reaction coordinate  $\xi_p$  for pore nucleation and pore expansion.<sup>37</sup> During pore nucleation,  $\xi_p$  is equivalent to the chain reaction coordinate  $\xi_{ch}$  that quantifies the degree of connectivity of a polar transmembrane defect and takes values  $\xi_{ch} \in [0,1]$ .<sup>43,44</sup> It was previously shown that PMF calculations along  $\xi_{ch}$  using umbrella sampling (US) simulations do not suffer from hysteresis problems and yield converged PMFs within moderate simulation times, in the context of both pore formation<sup>44–46</sup> and stalk formation.<sup>47</sup> The coordinate  $\xi_p$  used in this study has been defined as<sup>37</sup>

$$\xi_p(\mathbf{r}) = \xi_{ch}(\mathbf{r}) + H_\epsilon[\xi_{ch}(\mathbf{r}) - \xi_{ch}^s] \frac{R(\mathbf{r}) - R_0}{R_0} \quad (1)$$

where  $H_\epsilon$  is a differentiable variant of the Heaviside step function with a switch interval  $[-\epsilon, \epsilon]$ ,  $R(\mathbf{r})$  is the radius of an established transmembrane pore,  $R_0 = 0.419$  is the approximate radius of a thin water defect, and  $\xi_{ch}^s$  is a user-specified parameter that indicates the position of  $\xi_{ch}$  of a thin water defect, that is, the region where the transition from the pore nucleation to the pore expansion regime occurs. Hence, for  $\xi_{ch} < \xi_{ch}^s - \epsilon$ , the coordinate  $\xi_p$  is equivalent to  $\xi_{ch}$  and quantifies the connectivity of the transmembrane defect. For  $\xi_{ch} > \xi_{ch}^s + \epsilon$ ,  $\xi_p$  is given by the pore radius  $R(\mathbf{r})$  in units of  $R_0$ . For more details on the definition of  $\xi_{ch}(\mathbf{r})$  and  $R(\mathbf{r})$  we refer to previous work.<sup>37,43</sup>

Additional parameters required for defining  $\xi_p$  were chosen as follows. The polar atoms contributing to  $\xi_p$  were taken as the oxygen atoms of water as well as the four oxygen atoms of the lipid phosphate groups. The switch region between pore nucleation and pore expansion was defined with the parameters  $\xi_{ch}^s = 0.925$  and  $\epsilon = 0.05$ . The radius  $R(\mathbf{r})$  of the open pore was computed from the number of polar atoms within a horizontal layer centered in the membrane plane with the thickness of 1.2 nm, where the volume per polar atom was taken as  $0.02996 \text{ nm}^3$  corresponding to the volume per water molecule. The cylinder used for defining the chain coordinate  $\xi_{ch}$  was composed of 28 slices with a thickness of 0.1 nm each and using a cylinder radius of  $R_{cyl} = 1.2$  nm. Critically, during pore nucleation, the radius of the defect is fully controlled by the force field (together with other simulation parameters such as the temperature) and not by the cylinder radius, as the latter is purely used to control the locality of the defect in the



**Figure 1.** Typical simulation snapshots of pore nucleation and pore expansion, shown (A) for the single-membrane system with external electric field and (B) for the double-membrane system with charge imbalance. Corresponding values of the reaction coordinate  $\xi_p$  are shown, which quantifies the degree of connectivity of the transmembrane defect for  $\xi_p \lesssim 1$  and the radius  $R$  of the pore via  $R = \xi_p R_0$  during pore expansion for  $\xi_p \gtrsim 1$  ( $R_0 = 0.419$  nm). Headgroup atoms are shown as orange spheres, lipid tails as gray sticks, water in the pore as red/white spheres, and other water as blue surface. Restrained positive and negative dummy charges used to impose the charge imbalance are shown as blue and red spheres, respectively.

membrane plane. The cylinder ensures that two laterally displaced partial defects in the membrane connected with the upper or lower solvent reservoir, respectively, are not misinterpreted as a continuous transmembrane defect, which would lead to hysteresis problems. The length of 2.8 nm of the cylinder was chosen such that approximately 25% of the cylinder slices were filled by polar atoms ( $\xi_{ch} \approx 0.25$ ) in the flat membrane.

#### Umbrella Sampling Simulations of Pore Formation.

Pores were formed by constant-velocity pulling simulations along  $\xi_p$  over 100 ns from  $\xi_p = 0$  to  $\xi_p = 5$  using a force constant of 3000 kJ mol<sup>-1</sup>. Initial frames for umbrella sampling (US) simulations were taken from the constant-velocity pulling simulation. The PMFs were computed using 52 umbrella windows. Since sampling is more challenging in the switch region between pore nucleation and expansion (i.e., where  $\xi_{ch} \approx \xi_{ch}^{cs}$ ), we used the following nonequidistantly distributed US reference positions and nonequal US force constants: 0.08 to 0.64 in steps of 0.08 (force constant  $k = 3000$  kJ mol<sup>-1</sup>); 0.69 to 1.03 in steps of 0.02 ( $k = 5000$  kJ mol<sup>-1</sup>); and 1.18 to 4.93 in steps of 0.15 ( $k = 400$  kJ mol<sup>-1</sup>). Each window was simulated for 100 ns, and the first 40 ns were removed for equilibration. Statistical errors were estimated using 50 rounds of the Bayesian bootstrap of complete histograms.<sup>48</sup> Statistical errors were in range of few kilojoules per mole (see below).

**Charge Imbalance (CI) Simulations.** For the CI simulations, a pre-equilibrated simulation system of 200 DPPC lipids (see above) was doubled along the  $z$  direction (membrane normal) with the GROMACS module `gmx genconf`. A grid of  $4 \times 4$  dummy charges was placed at the center of each of the two solvent compartments (Figure 1B, blue and red spheres). The positions of the dummy charges were restrained with harmonic potentials with force constant 10 kJ mol<sup>-1</sup> nm<sup>-2</sup>. Hence, the spatial distribution of the charge imbalance in our simulations differed as compared to setups with freely moving ions. However, because the transmembrane potential drops mostly over the membrane and hardly within the water compartment (see below), this difference has only a

minor effect. The Lennard-Jones parameters of the dummy charges were taken from the potassium ion of the GROMOS force field<sup>49</sup> as  $C_6 = 1.63787 \times 10^{-5}$  kJ mol<sup>-1</sup> nm<sup>6</sup> and  $C_{12} = 1.18384 \times 10^{-6}$  kJ mol<sup>-1</sup> nm<sup>12</sup>.

In order to define dummy charges that yield a desired transmembrane potential, a series of equilibrium simulations was carried out: 20 ns with dummy atoms with charge set to 0e and another 20 ns using charges of  $0.1e$ , where  $e$  denotes the unit charge. The resulting transmembrane potential was computed using the `gmx potential` module, which derives the electrostatic potential profile  $\phi(z)$  via a double integration of the one-dimensional Poisson equation. Here, the potential was taken as the difference between the two flat segments of  $\phi(z)$  corresponding to the two water regions. According to these simulations, we found that, for an intact membrane, the imposed transmembrane potential followed approximately  $V_m = Q/C_{\text{flat}}$ , where  $Q$  is the total absolute charge of each of the charge grids, and the inverse capacitance of the flat membrane was  $C_{\text{flat}}^{-1} = 5.7$  V/e with the unit charge  $e$ . From this analysis, the initial charges used during US simulations of pore opening were set to  $q_{\text{grid}} = \pm V_m C_{\text{flat}} / n_{\text{grid}}$ , where  $n_{\text{grid}}$  is the number of dummy charges per grid.

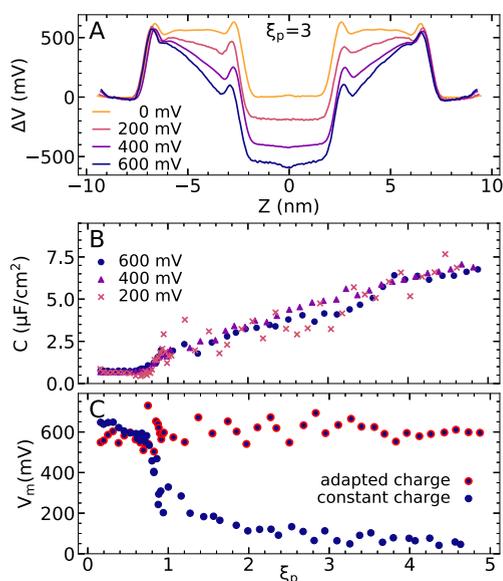
#### Iterative Optimization of Charge Imbalance over Rounds of Umbrella Sampling.

The capacitance  $C$  of the membrane increases with increasing pore radius, suggesting that the charge imbalance  $Q$  must be increased in order to maintain a constant transmembrane potential  $V_m = Q/C$ . We optimized the charge imbalance  $Q$  for each umbrella window in an iterative manner. After each round of US, we computed the transmembrane potential and updated  $Q$  to obtain increasingly better agreement with the target potential. To reduce the effects of statistical fluctuations, we smoothed the computed potentials along neighboring umbrella windows using a moving average with a window size of three. If the smoothed potential for an umbrella window  $V_m^{\text{av}}$  differed from the target potential  $V_m^t$  by more than 10%, we scaled the charges by  $V_m^t/V_m^{\text{av}}$ . If the relative deviation was smaller than 10%, we considered the charges as converged.

## RESULTS AND DISCUSSION

Figure 1 presents typical simulation frames along the pore nucleation and pore expansion pathway, taken from final frames of US windows. Frames are shown for the single-membrane system subject to an external electric field (Figure 1A) and for the double-membrane system subject to a charge imbalance between the two water compartments (Figure 1B). Pore nucleation proceeds from the flat membrane ( $\xi_p = 0.25$ ) via thinning of the membrane involving the protrusion of a polar defect into the membrane core ( $\xi_p = 0.85$ ), the formation of a highly transient water needle, up to the formation of a membrane-spanning polar defect ( $\xi_p = 1$ ). As the pore forms, lipids reorient along the pore rim to partly shield the hydrophobic membrane core from the aqueous defect, as visible from the lipid head groups along the pore rim (Figures 1A,B, right panels). Hence, lipid and water conformations observed during US simulations agree with previous simulations of pore formation.<sup>7,8,23,45,50–54</sup>

**Maintaining a Constant Transmembrane Potential in the Double-Membrane Systems Requires Updating of the Charge Imbalance.** Figure 2A shows typical potential



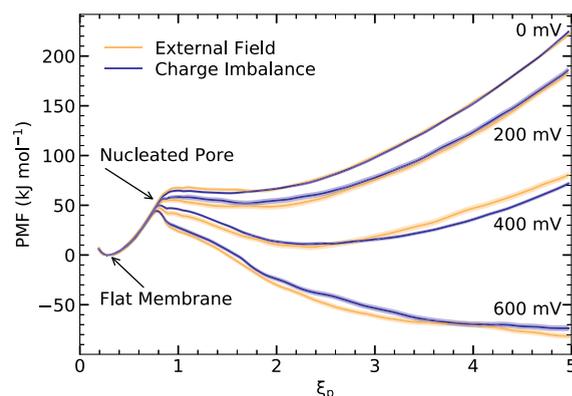
**Figure 2.** (A) Electrostatic potential profiles  $\Delta V(z)$  along the membrane normal  $z$  in double membrane systems with potentials of 0 mV, 200 mV, 400 mV, and 600 mV (see legend). (B) Capacitance  $C$  of the membrane versus the reaction coordinate of pore formation and pore expansion  $\xi_p$ , computed from the transmembrane potential  $V_m$  and the charge imbalance  $Q$  via  $C = Q/V_m$ . Symbols (see legend) correspond to values computed from individual US windows. (C) Transmembrane potential obtained from the  $\Delta V(z)$  profiles (cf. panel A) with a target potential 600 mV, computed from US windows of various  $\xi_p$  values after adapting the charge imbalance for maintaining a constant  $V_m$  (red circles) or using a constant charge imbalance (blue circles).

profiles  $\Delta V(z)$  for the double membrane system for transmembrane potentials  $V_m$  between 0 mV and 600 mV, here taken from an US simulation with a pore of radius  $\sim 1.25$  nm ( $\xi_p = 3$ ). The two flat regions at  $|z| \approx 9$  nm and  $|z| \approx 0$  nm correspond to the two solvent reservoirs that embed the dummy charge layers used to impose the potential. The potential largely drops over the hydrophobic cores of two membranes ( $3 \text{ nm} < |z| < 6 \text{ nm}$ ), as expected due to the lower

dielectric permittivity of the membrane cores as compared to the permittivity of the water compartments. The transmembrane potential  $V_m$  imposed by the dummy charges is given by the potential difference between the two solvent reservoirs.

As the pore expands, the capacitance of the membrane increases because part of the low-dielectric membrane core is replaced with high-dielectric water (Figure 2B). Consequently, in the double-membrane system, using a constant charge imbalance would lead to a drop of  $V_m$  (Figure 2C, blue circles). To maintain a constant, preselected potential  $V_m$  during pore expansion requires updating of the dummy charges. We found that  $V_m$  converges slowly within individual US windows, possibly owing to slow rearrangements of the pore shape and of the lipid headgroup structure along the pore rim on the time scale of several tens of nanoseconds. The slow convergence of  $V_m$  complicates the identification of the correct charge imbalance that leads to a preselected  $V_m$ . Nevertheless, using an iterative procedure, we identified charge imbalances that kept the  $V_m$  reasonably constant over all US windows, as shown in Figure 2C (red circles) for simulations with 600 mV.

**PMFs of Electroporation Agree between Charge Imbalance and External Field Simulations.** We computed the PMFs of pore nucleation and pore expansion using either the double-membrane system (Figure 3, blue lines) or using

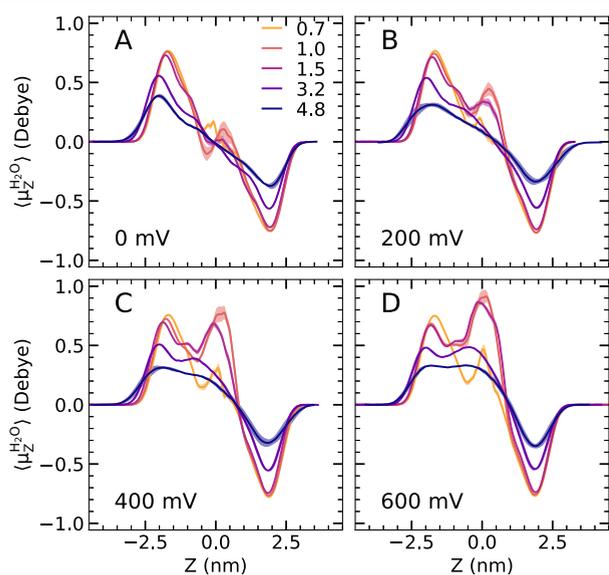


**Figure 3.** PMF of pore formation over a DPPC membrane involving pore nucleation ( $0 < \xi_p \lesssim 0.9$ ) and pore expansion ( $\xi_p \gtrsim 1$ ) for potentials between 0 mV and 600 mV (see labels) using external electric fields (orange) or charge imbalance (blue). Shaded areas (hardly visible) show standard errors obtained by bootstrapping.

external electric fields (Figure 3, orange lines). For the double-membrane system, two pores were formed simultaneously in the two membranes (Figure 1B), and the US histograms from the two pore opening processes were combined into a single PMF, thereby providing the free energy per pore. In the PMFs shown in Figure 3, the local minima at  $\xi_p \approx 0.25$  corresponding to the flat membrane were taken as a reference point where the free energy was set to zero. The  $0.25 \leq \xi_p \lesssim 0.9$  range of the PMFs describes the nucleation of pores, whereas the  $\xi_p \gtrsim 1$  region describes the expansion of fully formed polar defects with radii of approximately  $R = \xi_p R_0$  with  $R_0 = 0.419$  nm. In qualitative agreement with previous simulations of spontaneous pore formation under non-equilibrium conditions<sup>7,8,45,50–54</sup> and with theories of electroporation,<sup>55</sup> application of electric fields leads to a large stabilization of the pore in a voltage- and radius-dependent manner. The physical implications of the PMFs will be

discussed elsewhere.<sup>56</sup> As a key finding of this study, Figure 3 demonstrates that the PMFs based on charge imbalance reveal excellent agreement with the PMFs based on external electric fields. Considering that the PMFs are highly sensitive to modulations of  $V_m$ , this agreement suggests that the two methods impose similar electrostatic environments, even during large-scale conformational transitions of membranes as studied here.

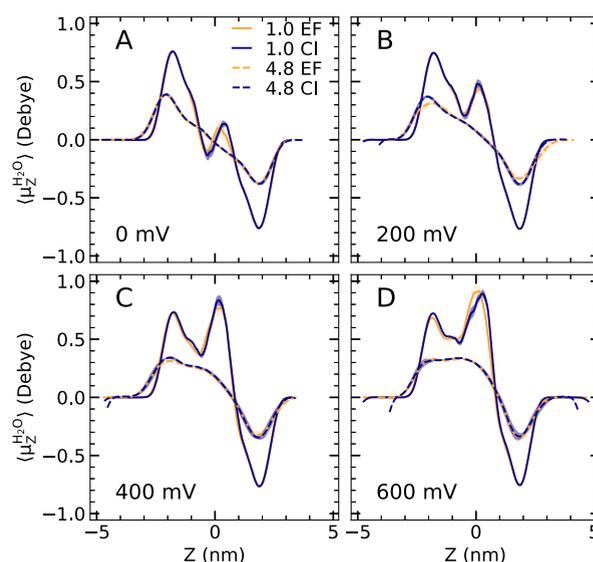
**Analysis of Water Dipoles Suggests Identical Electric Fields in Charge Imbalance and External Field Simulations.** As a probe for the local electric fields along the defect, we computed the average  $z$  component of water dipoles ( $\langle \mu_z^{\text{H}_2\text{O}} \rangle$ ) as a function of the  $z$  coordinate. In contrast to a similar analysis presented by Melcr et al.<sup>36</sup> who studied the water dipoles for an intact planar membrane, we here computed the dipoles for different degrees of pore opening by averaging within various US simulations. Figure 4 presents



**Figure 4.** Average dipole per water molecule projected onto the  $z$  axis (membrane normal) for (A) 0 mV, (B) 200 mV, (C) 400 mV, and (D) 600 mV for increasing degrees of pore opening, as given by the  $\xi_p$  reaction coordinate at 0.7, 1.0, 1.5, 3.2, and 4.8 colored in shades from yellow to blue (see legend). Shaded areas show one standard error. Data was taken from 500 ns simulations with external electric field.

the  $\langle \mu_z^{\text{H}_2\text{O}} \rangle$  profiles with external electric fields taken from US windows with a thinned membrane ( $\xi_p = 0.7$ , yellow), with a thin defect with a radius of  $\sim 0.42$  nm ( $\xi_p = 1$ , orange), or with increasingly larger pores ( $\xi_p = 1.4$ , red;  $\xi_p = 3.2$ , purple;  $\xi_p = 4.8$ , blue). At the headgroup regions ( $|z| \approx 2$  nm), the profiles reveal preferred orientations of water dipoles that diminish with increasing pore opening. At the membrane center ( $|z| \approx 0$  nm), water in thin transmembrane defects is strongly polarized (Figure 4B–D,  $\xi_p = 1$  or  $\xi_p = 1.4$ , orange or red), rationalized by the fact that the membrane-crossing electric field lines concentrate within the higher dielectric. As the pore expands, the electric field decreases inside the pore, leading to decreasingly oriented water dipoles (Figure 4B–D,  $\xi_p \geq 3.2$ , purple or blue).

To test whether the local electric fields inside the pores agree between the double-membrane and the external field simulations, we compared  $\langle \mu_z^{\text{H}_2\text{O}} \rangle$  obtained from the two simulation setups. Figure 5 presents the profiles for systems



**Figure 5.** Profiles of average water dipoles, projected onto the  $z$  axis, for (A) 0 mV, (B) 200 mV, (C) 400 mV, and (D) 600 mV, using the double-membrane system with charge imbalance (CI, blue) or the external electric field (EF, yellow). Profiles are shown for a thin defect ( $\xi_p = 1$ , solid lines) and for a wide open pore ( $\xi_p = 4.8$ , dashed lines). Excellent agreement between CI and EF simulations is found. Shaded areas show one standard error. Data was taken from 500 ns simulations.

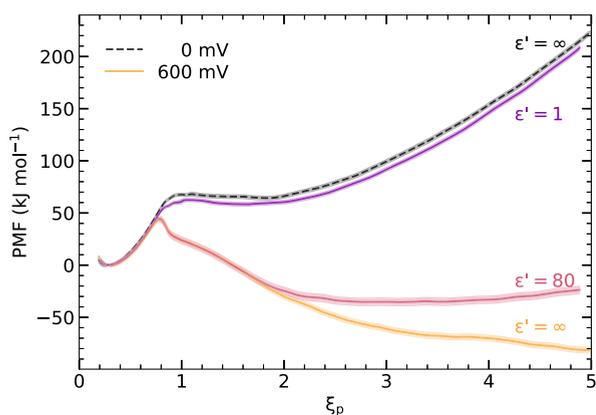
with a thin pore with a radius of  $\sim 0.42$  nm ( $\xi_p = 1$ , solid lines) or with a wide open pore of radius  $\sim 2$  nm ( $\xi_p = 4.8$ , dashed lines). For systems with identical  $V_m$  and identical degree of pore opening, we find excellent agreement between the charge imbalance (Figure 5, yellow lines) and the external field systems (Figure 5, blue lines). These data corroborate the conclusions from the PMFs that charge imbalance and external fields yield highly similar electrostatic environments across the membrane at different degrees of pore opening.

**Realistic External Field Simulations Require Tinfoil Boundary Conditions.** According to the Ewald formula, the electrostatic energy per simulation unit cell can be decomposed into four contributions: the real space energy, the reciprocal space energy, the self-energy, and a dipole correction.<sup>57,58</sup> The formula assumes that the periodic lattice of unit cells is at a large distance surrounded by a spherical boundary to a surrounding medium with dielectric permittivity  $\epsilon'$ . The dipole correction is<sup>57,58</sup>

$$E^{(d)} = \frac{2\pi}{(1 + 2\epsilon')V_{\text{box}}} \mathbf{P}^2 \quad (2)$$

where  $\mathbf{P} = \sum_i q_i \mathbf{r}_i$  is the macroscopic dipole of the system with atomic charges  $q_i$  and positions  $\mathbf{r}_i$ , while  $V_{\text{box}}$  is the box volume. Biomolecular simulations are typically carried out with tinfoil boundary conditions ( $\epsilon' = \infty$ ) leading to a vanishing dipole correction. With finite  $\epsilon'$ , in contrast, the dipole correction suppresses the formation of a macroscopic dipole.

To test the effect of the dipole correction during simulations with an external field, we repeated the PMF calculation of pore formation with  $\epsilon' = 1$  or  $\epsilon' = 80$ , thereby modeling a surrounding vacuum or a surrounding aqueous medium, respectively (Figure 6). In these simulations, we applied the external field that, with tinfoil boundary conditions, successfully modeled a transmembrane potential of 600 mV (cf. Figure 3). According to the PMFs, using  $\epsilon' = 80$  instead of  $\epsilon' =$



**Figure 6.** On the importance of using tinfoil boundary conditions: PMFs of pore formation using an external field of 0 mV (black dashed line) or 600 mV (yellow solid line) using PME with tinfoil boundary conditions ( $\epsilon' = \infty$ ). Red and purple lines: PMFs with the same external electric field as used for the 600 mV simulation but using nonconducting boundary conditions with permittivities  $\epsilon' = 1$  (purple) or  $\epsilon' = 80$  (red).

$\infty$  reduced the effect of the external field on the free energy of a large pore by  $\sim 50$  kJ/mol (Figure 6, compare red and orange curves). Using  $\epsilon' = 1$ , the PMF with an external field (Figure 6, purple) is nearly identical to the PMF computed without external field (Figure 6, black), demonstrating that vacuum boundary conditions suppress the pore-stabilizing effect by the external electric field nearly completely.

The consequence of using vacuum boundary conditions instead of tinfoil boundary conditions is further illustrated from the distributions of water dipoles along open pores (Figure S1). We find that external fields with vacuum boundary conditions ( $\epsilon' = 1$ ) yield a dipole distribution that is symmetric with respect to the membrane center as requested for a nearly vanishing macroscopic dipole  $\mathbf{P}$ , similar to the case without electric field. Consequently, neither the water in bulk nor the water in the pore are polarized, in sharp contrast to simulations with tinfoil boundary conditions. These data corroborate the observation from the PMFs that nontinfoil boundary conditions suppress or even nearly remove effects from external electric fields. Hence, physically realistic simulations with external fields strictly require tinfoil boundary conditions.

## CONCLUSIONS

The free energy landscape of membrane pore formation is highly sensitive with respect to transmembrane potentials, as evident from the modulations of pore free energies by tens to hundreds of kilojoules per mole by moderate potentials in the hundreds of millivolt range (Figure 3). Hence, the equivalence of the PMFs obtained either with external electric fields or with charge imbalance suggests that the two methods impose equivalent electrostatic environments on the membrane. We believe that free energy calculations are a rigorous approach for excluding or for quantifying simulation artifacts. Free energy calculations may reveal moderate changes of thermodynamic driving forces which may not be apparent from the analysis of simulation structures alone. An example for such an artifact would be the free energy change of the open pore upon replacing tinfoil boundary conditions (Figure 6, yellow,  $\epsilon' = \infty$ ) with water boundary conditions (Figure 6, red,  $\epsilon' = 80$ ); this artifact may not be detected by merely observing pore formation, the pore structures, or dipole orientations (see

Figure S1). In a similar spirit, artifacts in non-neutral simulation systems with PME electrostatics have been quantified with free energy calculations<sup>59</sup> and may be corrected during free energy calculations of ligated binding.<sup>60</sup>

Our simulations together with previous results<sup>12,22,23,36</sup> suggest that both external electric fields and charge imbalance provide valid and useful setups for simulating transmembrane potentials, given that tinfoil boundary conditions are applied. However, upon simulating constant potentials in the presence of conformational transitions that modulate the membrane capacitance, the charge imbalance method is technically more challenging since it requires updating of the charges. Since changes of membrane capacitance may only be estimated by geometric considerations, while updating of the charges may induce further alterations of membrane structure and capacitance, an iterative scheme for updating the charges may be required to reach a preselected potential. In contrast, imposing a preselected potential  $V_m$  with external electric fields is simple since the external field  $E_z$  is related to the transmembrane potentials via  $V_m = E_z L_z$ , where  $L_z$  is the box length in the direction of the electric field.

Membrane electroporation has been simulated extensively under nonequilibrium conditions by applying large transmembrane potentials of several volts.<sup>7,8,10–12,23–25</sup> However, the free energy landscape of pore formation was not well understood, mainly because defining a reaction coordinate of pore formation that enables PMF calculations without hysteresis problems was challenging until recently.<sup>43,44,53</sup> Such understanding will be critical for predicting the influence of different transmembrane potentials or of the lipid and protein content on the kinetics of pore opening and closure and, thereby, on the design of electric field pulse sequences with desired effects on cellular membranes. We anticipate that the present study lays the groundwork for deriving quantitative understanding of electroporation.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.jctc.3c00065>.

Figure S1, analyzing the effect of PME boundary conditions on water dipole orientation (PDF)

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### Notes

The authors declare no competing financial interest.

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